

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Yuri Galperin, et al.

Appl. No.

09/942,983

PCT Filing Date

August 30, 2001

For

METHOD AND APPARATUS

FOR DETERMINING A

PREPAYMENT SCORE FOR AN

INDIVIDUAL APPLICANT

Examiner

Siegfried E. Chencinski

Group Art Unit

3692

PETITION UNDER 37 C.F.R. § 1.183 TO WAIVE 37 C.F.R. § 1.48(a)(2) DUE TO PREVIOUSLY OMITTED INVENTOR'S REFUSAL TO SIGN A STATEMENT UNDER 37 C.F.R. § 1.48(a)(2) OR THE ASSIGNEE'S INABILITY TO REACH SAID INVENTOR DESPITE DILIGENT EFFORT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Dear Sir:

Applicants hereby petition the Commissioner under 37 C.F.R. 1.183 to waive 37 C.F.R. § 1.48(a)(2) and to allow correction of inventorship despite a previously-omitted inventor's failure to sign a statement under 37 C.F.R. § 1.48(a)(2).

Over a period of more than 8 months, the assignee attempted to contact Charles L. Jones to obtain his statement under 37 C.F.R. § 1.48(a)(2) that he was erroneously omitted as an inventor from the above-referenced application through no deceptive intent on his part. Three letters enclosing the Rule 1.48(a)(2) statement, and requesting Mr. Jones' signature on the statement, have been delivered to Mr. Jones' last known address, as confirmed by certified mail return receipts. Despite this diligent effort, Mr. Jones has neither returned a signed Rule 1.48(a)(2) statement nor even communicated with the assignee or its attorney.

Applicants' submit that these circumstances show that Mr. Jones refuses to sign the Rule 1.48(a)(2) statement. At a minimum, even if the circumstances are not interpreted as a refusal, they show that despite diligent effort, Applicants have not been able to reach Mr. Jones.

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Applicants respectfully submit that justice requires the granting of this petition in order to preserve the rights of the owners of the above-referenced patent application in the subject invention. Applicants submit with this Petition the following:

- 1. A Declaration of inventorship signed by Yuri Galperin, Vladimir Fishman, and William Eginton. In accordance with *M.P.E.P.* § 409.03(a), the Declaration, signed by all available inventors with the signature blocks of the nonsigning inventor left blank is a declaration on behalf of the signing inventors and the nonsigning inventor Charles L. Jones III.
- 2. A Declaration of Ted M. Cannon, including attached Exhibits A-I, detailing the circumstances of Charles L. Jones III's refusal to sign a statement under 37 C.F.R. § 1.48(a)(2) or the assignee's inability to reach Charles L. Jones III despite diligent effort.
- 3. Payment in the amount of \$400.00 as required by 37 C.F.R. § 1.17(f) is included as listed on the transmittal letter.

In view of the foregoing submissions and to preserve the right of the owners of the above-referenced patent application in the subject invention, Applicants respectfully request waiver of 37 C.F.R. § 1.48(a)(2) to allow correction of inventorship under 37 C.F.R. § 1.48 be granted despite Charles L. Jones III's failure to sign a statement under 37 C.F.R. § 1.48(a)(2).

As indicated, payment in the amount of \$400.00 as required by 37 C.F.R. § 1.17(f) has been listed in the fees calculated on the transmittal letter. The Assistant Commissioner is authorized to charge any additional fees, including any fees for any required additional extension of time, or credit any overpayment, to Deposit Account No. 11-1410.

Respectfully Submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

By:

Ted M. Cannon

Registration No. 55,036

Attorney of Record

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